



Futures and Options Trading

New Account Application Form

For Official Use Only

New Account Application Form
Online Version: 1805a (EN).

Office Code:

Representative ID:

Applicant Status:

Risk Disclosure Statement

IMPORTANT INFORMATION PERTAINING TO FUTURES AND OPTIONS TRADING

This statement discloses the risks and other significant aspects of trading in futures and options contracts on an electronic futures exchange. In light of the risks, you should undertake such transactions only if you understand the nature of the contracts (and contractual relationships) into which you are entering and the extent of your exposure to risk. Trading in futures and options is not suitable for every investor. You should carefully consider whether trading is appropriate for you in light of your experience, objectives, financial resources and other relevant circumstances. Transactions in futures carry a high degree of risk. The amount of initial margin is small relative to the value of the futures contract, meaning that transactions are heavily "leveraged" or "geared." A relatively small market movement will have a proportionately larger impact on the funds you have deposited or will have to deposit: this may work against you as well as for you. You may sustain a total loss of initial margin funds and any additional funds deposited with the firm to maintain your position. If the market moves against your position or margin levels are increased, you may be called upon to pay substantial additional funds on short notice to maintain your position. If you fail to comply with a request for additional funds within the time prescribed, your position may be liquidated at a loss and you will be liable for any resulting deficit. The placing of certain orders (e.g., "stop-loss" orders, where permitted, or "stop-limit" orders) which are intended to limit losses to certain amounts may not be effective because market conditions may make it impossible to execute such orders. Strategies using combinations of positions, such as "spread" and "straddle" positions, may be as risky as taking simple "long" or "short" positions. Transactions in options carry a variable degree of risk. Purchasers and sellers of options should familiarise themselves with the type of option (i.e., put or call) which they contemplate trading and the associated risks. You should calculate the extent to which the value of the options must increase for your position to become profitable, taking into account the premium and all transaction costs. The purchaser of options may offset or exercise the options or allow the options to expire. The exercise of an option results either in a cash settlement or in the purchaser acquiring or delivering the underlying interest. If the purchased options expire worthless, you will suffer a total loss of your investment. You should ask the firm with which you deal about the terms and conditions of the specific futures or options which you are trading and associated obligations (e.g., the circumstances under which you may become obligated to make or take delivery of the underlying interest of a futures contract and, in respect of options, expiration dates and restrictions on the time for exercise). Before you begin to trade, you should obtain a clear explanation of all commissions, fees and other charges which you will or may incur for which you will or may be liable. These commissions, fees and charges will affect your net profit (if any) or increase your loss.

I have read the above risk disclosure statement and fully understand and agree with the terms, statements and warnings contained within.

Full Name:

Signature:

Date:

Section One: Personal Details

First Name	<input type="text"/>	House Number	<input type="text"/>
Middle Name	<input type="text"/>	Street	<input type="text"/>
Surname	<input type="text"/>	City	<input type="text"/>
Date of Birth	<input type="text"/>	State	<input type="text"/>
Place of Birth	<input type="text"/>	Zip / Post Code	<input type="text"/>
Nationality	<input type="text"/>	Country of Residence	<input type="text"/>

Account Holder - Contact Details

Home Number	<input type="text"/>	Business Number	<input type="text"/>	Ext.	<input type="text"/>
Mobile Number	<input type="text"/>	Fax Number	<input type="text"/>		
Additional Number	<input type="text"/>	Additional Number	<input type="text"/>		
Primary Email Address	<input type="text"/>	<div style="border: 1px solid gray; border-radius: 15px; padding: 10px; background-color: #f0f0f0;"><p>Should we need to contact you, please specify the best time in which to do so. (Local Time)</p><input type="text"/></div>			
Additional Email Address	<input type="text"/>				

Employment Information

<input type="checkbox"/> Employed (Full Time)	Company Name	<input type="text"/>
<input type="checkbox"/> Employed (Part Time)	Position / Job Title	<input type="text"/>
<input type="checkbox"/> Self Employed	Type of Business	<input type="text"/>
<input type="checkbox"/> Semi Retired		
<input type="checkbox"/> Retired	Number of Years in Current Profession	<input type="text"/>

Business Address

Building Number	<input type="text"/>	Street	<input type="text"/>	City	<input type="text"/>
State	<input type="text"/>	Post Code / Zip Code	<input type="text"/>		

Section Two: Suitability

Annual Income from All Sources In U.S. Dollars

- Below 25,000 USD
- 25,000 USD - 49,999 USD
- 50,000 USD - 74,999 USD
- 75,000 USD - 99,999 USD
- 100,000 USD - 149,999 USD
- 150,000 USD - 199,999 USD
- 200,000 USD - 299,999 USD
- 300,000 USD - 499,999 USD
- 500,000 USD - 749,999 USD
- 750,000 USD - 999,999 USD
- 1,000,000 USD +

Total Net Worth of All Assets In U.S. Dollars

- Below 50,000 USD
- 50,000 USD - 99,999 USD
- 100,000 USD - 149,999 USD
- 150,000 USD - 199,999 USD
- 200,000 USD - 249,999 USD
- 250,000 USD - 299,999 USD
- 300,000 USD - 399,999 USD
- 400,000 USD - 499,999 USD
- 500,000 USD - 749,999 USD
- 750,000 USD - 999,999 USD
- 1,000,000 USD +

Liquid Net Worth In U.S. Dollars (exclude the value of your real estate and any other illiquid assets)

- 25,000 USD - 49,999 USD
- 50,000 USD - 74,999 USD
- 75,000 USD - 99,999 USD
- 100,000 USD - 149,999 USD
- 150,000 USD - 199,999 USD
- 200,000 USD - 249,999 USD
- 250,000 USD - 299,999 USD
- 300,000 USD - 399,999 USD
- 400,000 USD - 499,999 USD
- 500,000 USD - 749,999 USD
- 750,000 USD - 999,999 USD
- 1 Million USD - 5 Million USD
- 5 Million USD - 10 Million USD
- 10 Million USD - 50 Million USD

Section Three: Trading & Investment Experience

Current Investments

Do you currently hold any of the following forms of investment?

(Please check the appropriate boxes)

- Securities
- Futures
- Bonds
- Hedge Funds
- Mutual Funds
- Government Saving Schemes

Experience

How many years of experience do you have investing in the following areas?

- Futures
- Options
- Stocks / Equities
- Hedge Funds / Mutual Funds
- Bonds

Investment Objectives and Risk Tolerance

Please specify your main investment objectives:

Please specify your tolerance towards investment risk:

Please list the name(s) of any brokerage firms where you hold, or have held trading accounts within the last 10 years. *You may leave this section blank if it is not applicable to you.*

1.
2.
3.
4.
5.
6.

Please specify the type of account you wish to open on the BFFX Online platform.

- Managed Advisory
- Execution Only Other

Please check the appropriate box in regards to the account category that you wish to open on the BFFX Online platform.

- Individual Joint
- Corporate Trust

Please list your introducing brokerage firm (IB) and your brokers name.

Brokerage Name:

Broker Name:

Section Four: Customer Acknowledgement & Agreement

Account Owner Information

Have you ever been the subject of a bankruptcy proceeding, receivership, or similar action?

Yes No

Have you ever been in a legal dispute, arbitration, or reparations action related to a commodity or securities account?

Yes No

Have you ever closed an account with an unsatisfied debit balance at a commodity or securities firm?

Yes No

Are you an "affiliated person" of a futures commission merchant or of an introducing brokerage firm?

Yes No

(An "affiliated person" is defined as any "general partner, officer, director, owner of more than ten percent of the equity interest, branch manager, associated person or employee, of the registered entity, and any relative or spouse of any of the foregoing persons, or relative of such spouse who shares the same home as any of the foregoing persons.")

Second Account Owner Information

(If Joint Account)

Have you ever been the subject of a bankruptcy proceeding, receivership, or similar action?

Yes No

Have you ever been in a legal dispute, arbitration, or reparations action related to a commodity or securities account?

Yes No

Have you ever closed an account with an unsatisfied debit balance at a commodity or securities firm?

Yes No

Are you an "affiliated person" of a futures commission merchant or of an introducing brokerage firm?

Yes No

(An "affiliated person" is defined as any "general partner, officer, director, owner of more than ten percent of the equity interest, branch manager, associated person or employee, of the registered entity, and any relative or spouse of any of the foregoing persons, or relative of such spouse who shares the same home as any of the foregoing persons.")

Customer Acknowledgement

I understand the risks involved in futures and options trading, furthermore I fully understand that BFFX (British Financial Futures Exchange) Limited will rely on the accuracy of the information and completeness of my responses to the questions provided in this document.
The answers I have submitted in this document are true and correct to the best of my knowledge and belief.

Print Full Name (Individual Account)

Signature

Date

Print Full Name (If Joint Account)

Signature

Date